



## ROBERT D. EMERSON, *OF COUNSEL*

### OVERVIEW

Since 1996, Robert Emerson has been advising Duffy & Sweeney clients on a wide variety of topics including general corporate matters, federal and state securities laws, mergers and acquisitions, private placements, financing transactions, employee incentive plans and real estate purchasing and leasing. More recently, Bob's practice has expanded into the tax and estate planning arena for business principals and procurement contracting for a major financial institution.

### EXPERIENCE

Formerly a high school science teacher and math department chairman, Bob makes a concerted effort to apply his experience as an educator and explain the legal issues to his clients in all transactions in which he is involved, which often include:

- Choosing the best corporate, partnership or other entity for a business venture.
- Designing a capital raising transaction to comply with Regulation D or blue sky laws.
- Negotiating indemnities and liability limits in purchase or merger transactions.
- Creating a QSST to enable stock gifting by the principal of an S-Corporation.
- Structuring an employment agreement or stock plan to comply with Rule 409A.

### COMMUNITY

#### Professional and Community Involvement

Bob is an active ornithologist who organizes the Newport-Westport Christmas Bird Count, serves on the RI Avian Records Committee, and regularly contributes to various citizens science initiatives including breeding bird surveys sponsored by the US EPA, MA Division of Fish and Wildlife, MA Audubon and other research-oriented organizations. Bob coordinated the Harvard Club of Rhode Island's Book Award to 40 area high schools for over a decade and is an active volunteer for the Walnut Hill School for the Arts.

### CONTACT

remerson@duffysweeney.com

### EDUCATION

Harvard College (A.B Biology  
*Cum Laude* 1979)

Boston College Law School  
(J.D. 1991)

### PRACTICE AREAS

General Corporate Law

Mergers and Acquisitions

Federal and State Securities  
Laws

Estate Planning and Taxation

### BAR AND COURT ADMISSIONS

Rhode Island (1991)

Massachusetts (1992)

Federal District Court , RI (1992)

Federal District Court, MA  
(1992)



321 South Main Street  
Suite 400  
Providence, RI 02903  
Tel. 401.455.0700  
Fax. 401.455.0701